

Lubbock CATEGORY 7 APPORTIONMENT RECAP (THROUGH 9/30/2020)

	A	B	C	D = A + B + C	E	F = D + E	G	H = F - G
FY	Federal Apportionment Balance Carryover from Previous FY	FY Federal Apportionment (includes all adjustments)	Federal Apportionment From Restoration of SAFETEA-LU Rescission	Total Available Federal Apportionment	Total Uses of Federal Apportionment (Transactions and Transfers)	Remaining Balance of Federal Apportionment	AC Balance of Federal Apportionment	Remaining Balance of Federal Apportionment (less AC Balance)
2004	\$2,794,344.00	\$3,282,619.00		\$6,076,963.00	\$0.00	\$6,076,963.00	\$0.00	\$6,076,963.00
2005	\$6,076,963.00	\$4,277,262.00		\$10,354,225.00	(\$3,899,558.00)	\$6,454,667.00	\$0.00	\$6,454,667.00
2006	\$6,454,667.00	\$3,420,339.00		\$9,875,006.00	(\$2,050,000.00)	\$7,825,006.00	\$0.00	\$7,825,006.00
2007	\$7,825,006.00	\$4,152,790.00		\$11,977,796.00	(\$7,173,681.00)	\$4,804,115.00	\$0.00	\$4,804,115.00
2008	\$4,804,115.00	\$4,093,555.00		\$8,897,670.00	(\$250,000.00)	\$8,647,670.00	\$0.00	\$8,647,670.00
2009	\$8,647,670.00	\$2,424,807.97		\$11,072,477.97	(\$10,928,743.04)	\$143,734.93	\$600,000.00	(\$456,265.07)
2010	\$143,734.93	\$4,509,105.00	\$1,953,218.03	\$6,606,057.96	(\$1,683,364.53)	\$4,922,693.43	\$0.00	\$4,922,693.43
2011	\$4,922,693.43	\$4,630,330.00		\$9,553,023.43	(\$3,277,440.00)	\$6,275,583.43	\$0.00	\$6,275,583.43
2012	\$6,275,583.43	\$4,254,024.00		\$10,529,607.43	\$473,979.51	\$11,003,586.94	\$0.00	\$11,003,586.94
2013	\$11,003,586.94	\$3,789,220.00		\$14,792,806.94	(\$10,583,928.91)	\$4,208,878.03	\$0.00	\$4,208,878.03
2014	\$4,208,878.03	\$4,156,411.00		\$8,365,289.03	(\$5,692,768.00)	\$2,672,521.03	\$4,756,000.00	(\$2,083,478.97)
2015	\$2,672,521.03	\$4,156,411.00		\$6,828,932.03	\$483,471.94	\$7,312,403.97	\$4,756,000.00	\$2,556,403.97
2016	\$7,312,403.97	\$4,315,716.00		\$11,628,119.97	(\$3,802,488.00)	\$7,825,631.97	\$0.00	\$7,825,631.97
2017	\$7,825,631.97	\$4,840,326.00		\$12,665,957.97	(\$4,557,162.84)	\$8,108,795.13	\$0.00	\$8,108,795.13
2018	\$8,108,795.13	\$4,951,989.00		\$13,060,784.13	\$389,729.11	\$13,450,513.24	\$0.00	\$13,450,513.24
2019	\$13,450,513.24	\$4,961,087.00		\$18,411,600.24	(\$3,049,515.99)	\$15,362,084.25	\$0.00	\$15,362,084.25
2020	\$15,362,084.25	\$5,389,751.00		\$20,751,835.25	\$1,422,584.30	\$22,174,419.55	\$0.00	\$22,174,419.55
2021	\$22,174,419.55	\$5,734,820.00		\$27,909,239.55	(\$1,422,584.30)	\$26,486,655.25	\$0.00	\$26,486,655.25

Lubbock CATEGORY 7 (STP MM)
PREVIOUS RECONCILIATION FOR FEDERAL FISCAL YEARS (FFY) 2007 - 2020 (THROUGH 9/30/2020)

FY	A Federal Apportionment Carryover from Previous FY, Including Adjustments for Previous FY's FHWA Obligation Limitation % (Excludes Previous FY AC Balance)	B FY Federal Apportionment	C Federal Apportionment From Restoration of SAFETEA-LU Rescission	D FHWA FY Obligation Limitation Percent ²	E = (B + C) x D Apportionment Total Above the FHWA FY Obligation Limitation Percent	F = A + E Total Available Federal Apportionment Adjusted for Obligation Limitation	G Total Uses of Federal Apportionment (Transactions and Transfers)	H = F + G Remaining Balance of Federal Apportionment	I AC Balance of Federal Apportionment	J = H - I Remaining Balance of Federal Apportionment (less AC Balance)
2007 ¹	\$6,943,434	\$4,152,790		89.97%	(\$416,525)	3,736,265	10,679,699	\$3,506,018	\$0.00	\$3,506,018.07
2008	\$3,506,018	\$4,093,555		91.60%	(\$343,859)	3,749,696	7,255,714	\$7,005,714	\$0.00	\$7,005,714.45
2009 ³	\$7,005,714	\$2,424,808		93.28%	(\$162,947)	2,261,861	9,267,575	(\$1,661,168)	\$600,000.00	(\$2,261,167.72)
2010	(\$1,661,168)	\$4,509,105	\$1,953,218	95.87%	(\$266,894)	6,195,429	4,534,261	\$2,850,897	\$0.00	\$2,850,896.84
2011	\$2,850,897	\$4,630,330		92.21%	(\$360,703)	4,269,627	7,120,524	\$3,843,084	\$0.00	\$3,843,084.13
2012	\$3,843,084	\$4,254,024		94.48%	(\$234,822)	4,019,202	7,862,286	\$8,336,266	\$0.00	\$8,336,265.52
2013	\$8,336,266	\$3,789,220		94.21%	(\$219,396)	3,569,824	11,906,090	\$1,322,161	\$0.00	\$1,322,160.77
2014	1,322,161	\$4,156,411		93.25%	(\$280,558)	3,875,853	5,198,014	(\$494,754)	\$4,756,000.00	(\$5,250,753.97)
2015	(494,754)	\$4,156,411		92.65%	(\$305,496)	3,850,915	3,356,161	\$3,839,633	\$4,756,000.00	(\$916,367.24)
2016	\$3,839,633	\$4,315,716		93.39%	(\$285,269)	4,030,447	7,870,080	\$4,067,592	\$0.00	\$4,067,591.93
2017	\$4,067,592	\$4,840,326		92.08%	(\$383,354)	4,456,972	8,524,564	\$3,967,401	\$0.00	\$3,967,401.27
2018	\$3,967,401	\$4,951,989		91.07%	(\$442,213)	4,509,776	8,477,178	\$8,866,907	\$0.00	\$8,866,906.76
2019	\$8,866,907	\$4,961,087		90.10%	(\$491,148)	4,469,939	13,336,846	\$10,287,330	\$0.00	\$10,287,330.16
2020	\$10,287,330	\$5,389,751		90.60%	(\$506,637)	4,883,114	15,170,445	\$16,593,029	\$0.00	\$16,593,028.87
2021	\$16,593,029	\$5,734,820		89.40%	(\$607,891)	5,126,929	21,719,958	\$20,297,374	\$0.00	\$20,297,373.65

Cumulative Apportionment Total Above the FHWA Obligation Limitation Percent **(\$5,307,710)**

FY21 APPN **\$27,149,947** <-- includes 20% match (used for Cat 7 Recon)

¹2007 Beginning Carryover is calculated as follows, based on FY 06 FHWA Compliance Audit:

Cumulative Apportionment as of 9/30/06	\$13,774,564
x Average Obligation Limit % per FHWA	93.6%
Cumulative Carryover Adjusted for Obligation Limit as of 9/30/06	\$12,892,992
Less Actual Cumulative Obligation through 9/30/06	(\$5,949,558)
Estimated 2007 Beginning Carryover	\$6,943,434

Recon to Available Apportionment (excludes AC Balance)	
Available Apportion (adj'd for OL %)	\$20,297,374
plus Cumulative Appn above OL %	\$5,307,710
plus FY 2007 Carryover	\$7,825,006
less FY 2007 Carryover (adj'd)	(\$6,943,434)
Total Available Apportionment	\$26,486,655

Variance Check \$0

**Lubbock STP-MM (CATEGORY 7)
FEDERAL FUNDS
2020 TRANSACTIONS**

FUNDING TRANSACTIONS IN FHWA'S SYSTEM

Project Number	CSJ	County	Project Description	Transaction Date	Let Date	Transaction Description	Transaction Type	Federal Amount	Total Amount
STP 2014(802)MM	0905-06-086	LUBBOCK	ERSKINE ST FROM NORTH TTU PARKWAY TO NORTH INDIANA AVE.	2/5/2020	8/1/2017	Project Close	Close Out	(\$161,743.84)	(\$202,179.80)
STP 2014(802)MM	0905-06-086	LUBBOCK	ERSKINE ST FROM NORTH TTU PARKWAY TO NORTH INDIANA AVE.	2/5/2020	8/1/2017	Project Close	Close Out	(\$48,856.27)	(\$61,070.34)
STP 1702(806)MM	0905-06-102	LUBBOCK	BIKE/PEDESTRIAN STUDY	4/7/2020	8/1/2017	Project Close	Close Out	(\$12,044.00)	(\$15,055.00)
STP 2013(649)	0880-04-032	LUBBOCK	WIDEN NON-FREEWAY	6/11/2020	2/1/2019	Project Change	MPA	\$37,000.00	\$46,250.00
STP 1102(450)MM	1344-02-020	LUBBOCK	FM 1730 FROM 200 FEET NORTH OF 98TH ST. TO 1000 FEET SOUTH OF	9/17/2020		Project Change	MPA	(\$1,236,940.19)	(\$1,546,175.24)
FY 2021 TOTAL OBLIGATIONS								(\$1,422,584.30)	(\$1,778,230.38)

* Transaction description type "MPA" is Modified Project Agreement. MPAs are processed by FIN_Accounting for such actions as project withdrawal, project close, low bid adjustment and other funding changes that do not require amending the FPAA.

FUNDS TRANSFERRED TO FTA

Project Number	CSJ	County	Project Description	Transaction Date	Let Date	Transaction Description	Transaction Type	Federal Amount	Total Amount
FY 2021 TOTAL TRANSFERS								\$0.00	\$0.00

FY 2021 TOTAL OBLIGATIONS AND TRANSFERS								(\$1,422,584.30)	(\$1,778,230.38)
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Note: Your Advanced Construction balance is shown below and is NOT included in the figures above.

PROJECTS WITH ADVANCED CONSTRUCTION

Project Number	CSJ	County	AC Balance
TOTAL AC BALANCE			\$0.00

Lubbock CATEGORY 9 RECAP (THROUGH 9/30/2020)

	A	B	C = A + B	D	E = C + D	F	G = E - F
FY	Federal Apportionment Balance Carryover from Previous FY	FY Federal Apportionment (includes all adjustments)	Total Available Federal Apportionment	Total Uses of Federal Apportionment (Transactions and Transfers)	Remaining Balance of Federal Apportionment	AC Balance of Federal Apportionment	Remaining Balance of Federal Apportionment (less AC Balance)
2013	\$0.00	\$347,867.00	\$347,867.00	\$0.00	\$347,867.00	\$0.00	\$347,867.00
2014	\$347,867.00	\$353,625.00	\$701,492.00	\$0.00	\$701,492.00	\$0.00	\$701,492.00
2015	\$701,492.00	\$353,625.00	\$1,055,117.00	\$0.00	\$1,055,117.00	\$0.00	\$1,055,117.00
2016	\$1,055,117.00	\$360,484.00	\$1,415,601.00	(\$619,500.00)	\$796,101.00	\$0.00	\$796,101.00
2017 ¹	\$771,499.00	\$360,484.00	\$1,131,983.00	(\$796,100.60)	\$335,882.40	\$0.00	\$335,882.40
2018	\$335,882.40	\$367,299.00	\$703,181.40	\$0.00	\$703,181.40	\$0.00	\$703,181.40
2019	\$703,181.40	\$367,299.00	\$1,070,480.40	\$0.00	\$1,070,480.40	\$0.00	\$1,070,480.40
2020	\$1,070,480.40	\$367,299.00	\$1,437,779.40	\$180.00	\$1,437,959.40	\$0.00	\$1,437,959.40
2021	\$1,437,959.40	\$367,299.00	\$1,805,258.40	(\$180.00)	\$1,805,078.40	\$0.00	\$1,805,078.40

¹: FY 2016 Carryover reduced based on FY 2017 rescission

Lubbock CATEGORY 9 (TAP)
PREVIOUS RECONCILIATION FOR FEDERAL FISCAL YEARS (FFY) 2007 - 2020 (THROUGH 9/30/2020)

FY	A Federal Apportionment Carryover from Previous FY, Including Adjustments for Previous FY's FHWA Obligation Limitation % (Excludes Previous FY AC Balance)	B FY Federal Apportionment	C FHWA FY Obligation Limitation Percent ¹	<i>Apportionment Total Above the FHWA FY Obligation Limitation Percent</i>	D = B x C FY Apportionment Adjusted for FHWA FY Obligation Limitation Percent	E = A + D Total Available Federal Apportionment Adjusted for Obligation Limitation	F Total Uses of Federal Apportionment (Transactions and Transfers)	G = E + F Remaining Balance of Federal Apportionment
2013	\$0	\$347,867	94.21%	(\$20,141)	327,726	327,726	\$0	327,726
2014	\$327,725	\$353,625	93.25%	(\$23,870)	329,755	657,480	\$0	657,480
2015	\$657,480	\$353,625	92.65%	(\$25,991)	327,634	985,114	\$0	985,114
2016	\$985,114	\$360,484	93.39%	(\$23,828)	336,656	1,321,770	(\$619,500)	702,270
2017	\$677,668	\$360,484	92.08%	(\$28,550)	331,934	1,009,602	(\$796,101)	213,501
2018	\$213,501	\$367,299	91.07%	(\$32,800)	334,499	548,001	\$0	548,001
2019	\$548,001	\$367,299	90.10%	(\$36,363)	330,936	878,937	\$0	878,937
2020	878,937	\$367,299	90.60%	(\$34,526)	332,773	1,211,710	\$180	1,211,890
2021	1,211,890	\$367,299	89.40%	(\$38,934)	328,365	1,540,255	(\$180)	1,540,075

H AC Balance of Federal Apportionment	I = G - H Remaining Balance of Federal Apportionment (less AC Balance)
\$0.00	\$327,726
\$0.00	\$657,480
\$0.00	\$985,114
\$0.00	\$702,270
\$0.00	\$213,501
\$0.00	\$548,001
\$0.00	\$878,937
\$0.00	\$1,211,890
\$0.00	\$1,540,075

Cumulative Apportionment Total Above the FHWA Obligation Limitation Percent **(\$265,003)**

FY21 APPN **\$1,925,319.06** <-- includes 20% match (used for Cat 9 Recon)

Recon to Available Apportionment (excludes AC Balance)	
Available Apportion (adj'd for OL %)	1,540,075
plus Cumulative Appn above OL %	\$265,003
Total Available Apportionment	\$1,805,078

Variance Check \$0

**Lubbock TAP (CATEGORY 9)
FEDERAL FUNDS
2020 TRANSACTIONS**

FUNDING TRANSACTIONS IN FHWA'S SYSTEM

Project Number	CSJ	County	Project Description	Transaction Date	Let Date	Transaction Description	Transaction Type	Federal Amount	Total Amount
STP 2017(137)TP	0130-05-083	LUBBOCK	US 62 FROM MEMPHIS TO UNIVERSITY AVENUE. MISCELLANEOUS	11/25/2019	1/1/2017	Project Close	Close Out	(\$180.00)	(\$225.00)
FY 2021 TOTAL OBLIGATIONS								(\$180.00)	(\$225.00)

* Transaction description type "MPA" is Modified Project Agreement. MPAs are processed by FIN_Accounting for such actions as project withdrawal, project close, low bid adjustment and other funding changes that do not require amending the FPAA.

FUNDS TRANSFERRED TO FTA

Project Number	CSJ	County	Project Description	Transaction Date	Let Date	Transaction Description	Transaction Type	Federal Amount	Total Amount
FY 2021 TOTAL TRANSFERS								\$0.00	\$0.00

FY 2021 TOTAL OBLIGATIONS AND TRANSFERS								(\$180.00)	(\$225.00)
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Note: Your Advanced Construction balance is shown below and is NOT included in the figures above.

PROJECTS WITH ADVANCED CONSTRUCTION

Project Number	CSJ	County	AC Balance
TOTAL AC BALANCE			\$0.00