

TEXAS DEPARTMENT OF TRANSPORTATION

HOUSTON CATEGORY 7 APPORTIONMENT RECAP

A + B + C = D

E + F = G

D + G = H

H - I = J

A

B

C

D

E

F

G

H

I

J

FY	Federal Apportionment Balance Carryover from Previous FY	FY Federal Apportionment (includes all adjustments)	Federal Apportionment From Restoration of SAFETEA-LU Rescission	Total Available Federal Apportionment	FTA Transfer of Federal Funds	Federal Funds Obligated On Projects	Total Uses of Federal Apportionment	Remaining Balance of Federal Apportionment	AC Balance of Federal Apportionment	Total Uncommitted Balance of Federal Apportionment
2004	\$0.00	\$62,048,900.00		\$62,048,900.00		(\$62,048,900.00)	(\$62,048,900.00)	\$0.00		\$0.00
2005	\$0.00	\$80,849,911.00		\$80,849,911.00		(\$61,326,388.89)	(\$61,326,388.89)	\$19,523,522.11		\$19,523,522.11
2006	\$19,523,522.11	\$64,652,131.00		\$84,175,653.11		(\$65,525,407.66)	(\$65,525,407.66)	\$18,650,245.45		\$18,650,245.45
2007	\$18,650,245.45	\$78,497,111.00		\$97,147,356.45		(\$71,637,462.12)	(\$71,637,462.12)	\$25,509,894.33	\$39,972,068.73	(\$14,462,174.40)
2008	\$25,509,894.33	\$77,377,438.00		\$102,887,332.33		(\$64,007,999.61)	(\$64,007,999.61)	\$38,879,332.72	\$33,160,701.92	\$5,718,630.80
2009	\$38,879,332.72	\$45,834,356.48		\$84,713,689.20	(\$6,924,158.00)	(\$75,033,764.91)	(\$81,957,922.91)	\$2,755,766.29	\$14,542,684.40	(\$11,786,918.11)
2010	\$2,755,766.29	\$85,232,262.00	\$36,920,224.52	\$124,908,252.81	(\$2,400,000.00)	(\$56,362,777.12)	(\$58,762,777.12)	\$66,145,475.69	\$6,426,819.40	\$59,718,656.29
2011	\$66,145,475.69	\$87,523,699.00		\$153,669,174.69	\$2,400,000.00	(\$20,243,523.09)	(\$17,843,523.09)	\$135,825,651.60	\$6,426,819.40	\$129,398,832.20
2012	\$135,825,651.60	\$80,410,647.00		\$216,236,298.60	\$0.00	(\$123,002,289.65)	(\$123,002,289.65)	\$93,234,008.95	\$412,211.48	\$92,821,797.47

**TEXAS DEPARTMENT OF TRANSPORTATION  
HGAC CATEGORY 7**

**PREVIOUS RECONCILIATION FOR FEDERAL FISCAL YEARS (FFY) 2007 - 2010**

FY	A	B	B x C = D C	D	A + D = E E	F	E + F - G = H G	H
	Federal Apportionment Carryover from Previous FY Adjusted for Obligation Limitation	FY Federal Apportionment (includes all adjustments)	FY Obligation Limitation <sup>2</sup>	FY Apportionment Adjusted for FY Obligation Limitation	Total Available Federal Apportionment Adjusted for Obligation Limitation	Total Uses of Federal Apportionment	AC Balance of Federal Apportionment	Total Uncommitted Balance of Federal Apportionment Adjusted for Obligation Limitation
2007 <sup>1</sup>	(\$25,330,349.00)	\$78,497,111.00	89.97%	\$70,623,850.77	\$45,293,501.77	(\$71,637,462.12)		(\$26,343,960.35)
2008	(\$26,343,960.35)	\$77,377,438.00	91.60%	\$70,877,733.21	\$44,533,772.86	(\$64,007,999.61)		(\$19,474,226.75)
2009 <sup>3</sup>	(\$194,747,226.76)	\$82,754,581.00	93.28%	\$77,193,473.16	(\$117,553,753.60)	(\$81,957,922.91)		(\$199,511,676.51)
2010	(\$24,238,676.51)	\$85,232,262.00	95.87%	\$81,712,169.58	\$57,473,493.07	(\$58,762,777.12)	\$6,426,819.40	(\$7,716,103.45)

<sup>1</sup>2007 Beginning Carryover is calculated as follows, based on FY 06 FHWA Compliance Audit:

Cumulative Apportionment as of 9/30/06	\$685,371,959	Adjustment for Non-Federal Matching Funds:	
x Average Obligation Limit % per FHWA	93.6%	Federal Bal as of 9/30/10	-\$7,716,103.45
Cumulative Carryover Adjusted for Obligation Limit as of 9/30/06	\$641,508,154	Adjusted for 20% match	-\$1,929,025.86
Less Actual Cumulative Obligation through 9/30/06	(\$666,838,502)	Total Adjustment	-\$9,645,129.31
Estimated 2007 Beginning Carryover	(\$25,330,349)		

<sup>2</sup>Subject to change based on FHWA HQ Review and/or subsequent Compliance Audit

<sup>3</sup>FY 2009 Apportionment includes FY 2009 SAFETEA-LU Rescission restored in FY 2010

**NOTE: FY 2012 UTP INCLUDES AGREED UPON ADJUSTMENTS TO CATEGORY 7 AND 12 AFTER FFY 04 - 10 RECONCILIATION AS NOTED IN MAY 2011 MINUTE ORDER 112696**

**FFY 2011 RECONCILIATION**

FY 10 Reconciliation Carryover (Excluding AC Balance of Federal Apportionment)	FY 11 Federal Apportionment (includes all Adjustments)	FY 11 Obligation Limitation <sup>2</sup>	FY 11 Apportionment Adjusted for FY Obligation Limitation	Total Adjusted Apportionment (FY 10 Reconciliation Carryover + FY 11 Adjusted Apportionment)	Total Federal apportionment used in FY 11	Adjusted Apportionment Remaining	AC Balance of Federal Funds	Total Uncommitted Balance of Federal Apportionment Adjusted for OL (FY11 Appn - AC Bal.)	20% non federal match	Reconciliation Amount
(\$1,289,284.05)	\$87,523,699.00	92.21%	\$80,708,397.71	\$79,419,113.66	(\$17,843,523.09)	\$61,575,590.57	\$6,426,819.40	\$55,148,771.17	\$13,787,192.79	\$68,935,963.96

**FFY 2012 RECONCILIATION**

FY 11 Reconciliation Carryover (Excluding AC Balance of Federal Apportionment)	FY 12 Federal Apportionment (includes all Adjustments)	FY 12 Obligation Limitation <sup>2</sup>	FY 12 Apportionment Adjusted for FY Obligation Limitation	Total Adjusted Apportionment (FY 10 and FY 11 Reconciliation Carryover + FY 12 Adjusted Apportionment)	Total Federal apportionment used in FY 12	Adjusted Apportionment Remaining	AC Balance of Federal Funds	Total Uncommitted Balance of Federal Apportionment Adjusted for OL (FY12 Appn - AC Bal.)	20% non federal match	Reconciliation Amount
\$61,575,590.57	\$80,410,647.00	94.48%	\$75,969,420.30	\$137,545,010.87	(\$123,002,289.65)	\$14,542,721.22	\$412,211.48	\$14,130,509.74	\$3,532,627.43	\$17,663,137.17