



LUBBOCK CATEGORY 7 APPORTIONMENT RECAP

| | A + B + C = D | | | | E + F = G | | | D + G = H | | | H - I = J |
|------|--|---|---|---------------------------------------|-------------------------------|-------------------------------------|-------------------------------------|--|-------------------------------------|--|-----------|
| FY | A | B | C | D | E | F | G | H | I | J | |
| | Federal Apportionment Balance Carryover from Previous FY | FY Federal Apportionment (includes all adjustments) | Federal Apportionment From Restoration of SAFETEA-LU Rescission | Total Available Federal Apportionment | FTA Transfer of Federal Funds | Federal Funds Obligated On Projects | Total Uses of Federal Apportionment | Remaining Balance of Federal Apportionment | AC Balance of Federal Apportionment | Total Uncommitted Balance of Federal Apportionment | |
| 2004 | \$2,794,344.00 | \$3,282,619.00 | | \$6,076,963.00 | | \$0.00 | \$0.00 | \$6,076,963.00 | | \$6,076,963.00 | |
| 2005 | \$6,076,963.00 | \$4,277,262.00 | | \$10,354,225.00 | | (\$3,899,558.00) | (\$3,899,558.00) | \$6,454,667.00 | | \$6,454,667.00 | |
| 2006 | \$6,454,667.00 | \$3,420,339.00 | | \$9,875,006.00 | | (\$2,050,000.00) | (\$2,050,000.00) | \$7,825,006.00 | | \$7,825,006.00 | |
| 2007 | \$7,825,006.00 | \$4,152,790.00 | | \$11,977,796.00 | | (\$7,173,681.00) | (\$7,173,681.00) | \$4,804,115.00 | | \$4,804,115.00 | |
| 2008 | \$4,804,115.00 | \$4,093,555.00 | | \$8,897,670.00 | | (\$250,000.00) | (\$250,000.00) | \$8,647,670.00 | | \$8,647,670.00 | |
| 2009 | \$8,647,670.00 | \$2,424,807.97 | | \$11,072,477.97 | | (\$10,928,743.04) | (\$10,928,743.04) | \$143,734.93 | \$600,000.00 | (\$456,265.07) | |
| 2010 | \$143,734.93 | \$4,509,105.00 | \$1,953,218.03 | \$6,606,057.96 | | (\$1,683,364.53) | (\$1,683,364.53) | \$4,922,693.43 | | \$4,922,693.43 | |
| 2011 | \$4,922,693.43 | \$4,630,330.00 | | \$9,553,023.43 | | (\$3,277,440.00) | (\$3,277,440.00) | \$6,275,583.43 | | \$6,275,583.43 | |
| 2012 | \$6,275,583.43 | \$4,254,024.00 | | \$10,529,607.43 | | \$473,979.51 | \$473,979.51 | \$11,003,586.94 | | \$11,003,586.94 | |
| 2013 | \$11,003,586.94 | \$3,789,220.00 | | \$14,792,806.94 | | (\$10,583,928.94) | (\$10,583,928.94) | \$4,208,878.00 | | \$4,208,878.00 | |

LUBBOCK CATEGORY 7

PREVIOUS RECONCILIATION FOR FEDERAL FISCAL YEARS (FFY) 2007 - 2010

| FY | A | B | B x C = D C | D | A + D = E E | F | E + F - G = H G | H |
|-------------------|---|---|---------------------------------------|--|--|-------------------------------------|-------------------------------------|---|
| | Federal Apportionment Carryover from Previous FY Adjusted for Obligation Limitation | FY Federal Apportionment (includes all adjustments) | FY Obligation Limitation ² | FY Apportionment Adjusted for FY Obligation Limitation | Total Available Federal Apportionment Adjusted for Obligation Limitation | Total Uses of Federal Apportionment | AC Balance of Federal Apportionment | Total Uncommitted Balance of Federal Apportionment Adjusted for Obligation Limitation |
| 2007 ¹ | \$6,943,433.90 | \$4,152,790.00 | 89.97% | \$3,736,265.16 | \$10,679,699.07 | (\$7,173,681.00) | | \$3,506,018.07 |
| 2008 | \$3,506,018.07 | \$4,093,555.00 | 91.60% | \$3,749,696.38 | \$7,255,714.45 | (\$250,000.00) | | \$7,005,714.45 |
| 2009 ³ | \$7,005,714.45 | \$4,378,026.00 | 93.28% | \$4,083,822.65 | \$11,089,537.10 | (\$10,928,743.04) | | \$160,794.06 |
| 2010 | \$160,794.06 | \$4,509,105.00 | 95.87% | \$4,322,878.96 | \$4,483,673.03 | (\$1,683,364.53) | | \$2,800,308.50 |
| 2011 | \$2,800,308.50 | \$4,630,330.00 | 92.21% | \$4,269,775.15 | \$7,070,083.65 | (\$3,277,440.00) | | \$3,792,643.65 |
| 2012 | \$3,792,643.65 | \$4,254,024.00 | 94.48% | \$4,019,066.50 | \$7,811,710.14 | \$473,979.51 | | \$8,285,689.65 |

| | | | | | | | |
|---|--|--|---------------|--|--|--|----------------|
| ¹ 2007 Beginning Carryover is calculated as follows, based on FY 06 FHWA Compliance Audit: | | | | Adjustment for Non-Federal Matching Funds: | | | |
| Cumulative Apportionment as of 9/30/06 | | | \$13,774,564 | Federal Bal as of 9/30/10 | | | \$2,800,308.50 |
| x Average Obligation Limit % per FHWA | | | 93.6% | Adjusted for 20% match | | | \$700,077.12 |
| Cumulative Carryover Adjusted for Obligation Limit as of 9/30/06 | | | \$12,892,992 | Total Adjustment | | | \$3,500,385.62 |
| Less Actual Cumulative Obligation through 9/30/06 | | | (\$5,949,558) | | | | |
| Estimated 2007 Beginning Carryover | | | \$6,943,434 | | | | |

²Subject to change based on FHWA HQ Review and/or subsequent Compliance Audit

³FY 2009 Apportionment includes FY 2009 SAFETEA-LU Rescission restored in FY 2010

NOTE: FY 2012 UTP INCLUDES AGREED UPON ADJUSTMENTS TO CATEGORY 7 AND 12 AFTER FFY 04 - 10 RECONCILIATION AS NOTED IN MAY 2011 MINUTE ORDER 112696

FFY 2013 RECONCILIATION

| A | B | B x C = D C | D | A + D = E E | F | E + F = G G | H | G - H = I I |
|--|--|--|---|------------------------------|---|----------------------------------|-----------------------------|--------------------------|
| FY 12 Reconciliation Carryover (Less AC Balance of Fed Appn) | FY 13 Federal Apportionment (includes all Adjustments) | FY 13 Obligation Limitation ² | FY 13 Apportionment Adjusted for FY Obligation Limitation | Total Adjusted Apportionment | Total Federal apportionment used in FY 13 | Adjusted Apportionment Remaining | AC Balance of Federal Funds | Net FFY13 Fed Appn Avail |
| \$8,285,689.65 | \$3,789,220.00 | 95.79% | \$3,629,693.84 | \$11,915,383.49 | (\$10,583,928.94) | \$1,331,454.55 | \$0.00 | \$1,331,454.55 |

FFY 2013 RECONCILIATION TO STATE FY 2013

| A | A + B = C B | C | C/80% x 20% = D D | C + D = E E |
|--|---|--|-----------------------|-----------------------|
| Total Est. Balance of Federal Appn Avail. FY13 | Total FY13 Fed Obligated on State FY14 Projects | Adjusted Fed FY13 Reconciliation to State FY13 | 20% non federal match | Reconciliation Amount |
| \$1,331,454.55 | \$0.00 | \$1,331,454.55 | \$332,863.64 | \$1,664,318.19 |