



# Toll Integrator Contract

TxDOT / DOT DBE Program and how it applies to your contract, contractors and subcontractors/ sub providers.



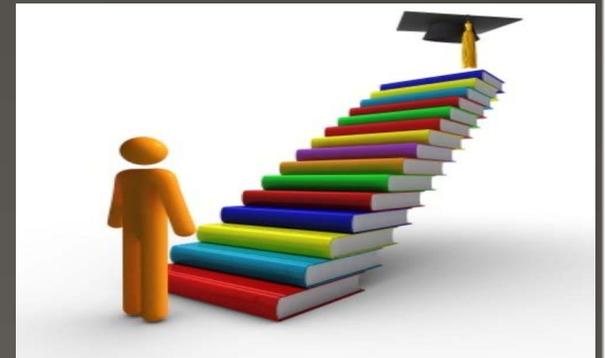
- ❑ Welcome to the TxDOT / DOT DBE Program Question & Answer Session
- ❑ We hope you leave understanding the applicability of the TxDOT DBE program as it pertains to the successful bidder for the Toll Integrator contract.
- ❑ The goal is to explain the DBE requirements for your contracts.

**Welcome and Introduction**

- ❑ DBE program as it applies to federally funded pass-through projects.
- ❑ This training will inform the successful bidder with the information needed to comply and understand the DBE requirements.
- ❑ Topics will include: Pre-Con, TUCP, DBE Goals, Goal Setting, Forms, Reporting, TxDOT's role, GFE, CUFs, Substitution/Replacements and Commitments.

## Overview

- ❑ CSJ (TxDOT Control Section Job Number)
- ❑ CUF (Commercially Useful Function)
- ❑ DBE (Disadvantaged Business Enterprise)
- ❑ DDC (TxDOT District DBE Coordinator)
- ❑ DOT (Department of Transportation)
- ❑ FHWA (Federal Highways Administration)
- ❑ GFE (Good Faith Effort)
- ❑ OCR (Office of Civil Rights)
- ❑ RC (Race Conscious Goal)
- ❑ RN (Race Neutral Goal)
- ❑ TOD (Toll Operations Division)
- ❑ TUCP (Texas Unified Certification Program)



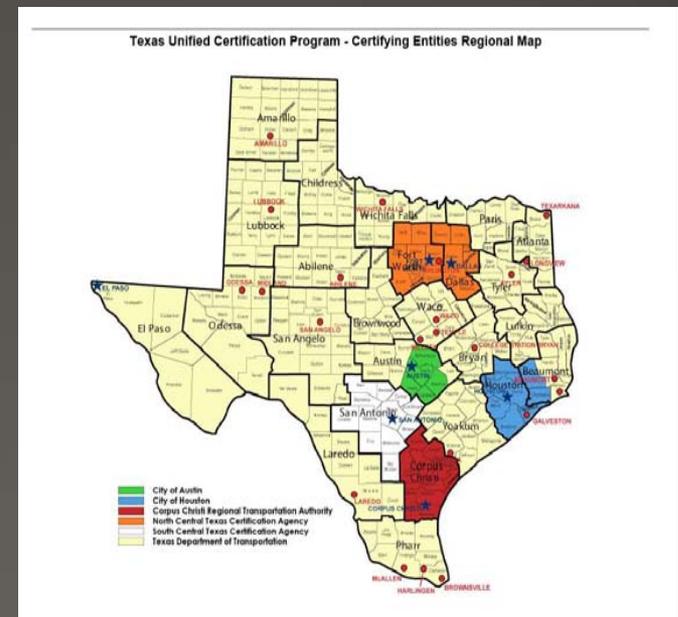
## **Vocabulary**

- ❑ The “Pre-Con” or pre-construction meeting/kickoff is a great opportunity to get your project off to a positive start and meet the TxDOT players you’ll be dealing with.
- ❑ Make sure to meet all of the contacts and request any tools, forms or information that might be needed in that particular TxDOT District you’re choosing to work in.

## **The Pre-Construction Kickoff**

- ❑ All DBEs certified to work on TxDOT construction projects in the State of Texas must be certified in the category of work they're performing at the time of commitment.
- ❑ There are (6) certifying agencies in the State of Texas.
- ❑ The TUCP is maintained and updated by TxDOT.

## The TUCP



- ❑ All projects that have \$1.00 or more of federal funds or fall “on system” will be analyzed for a DBE goal.
- ❑ The project’s size, work type, location, duration and fiduciary characteristics will help determine whether or not it receives a goal.
- ❑ The goal is specific to each project and independent of the TxDOT annual goal.



## **DBE Goals & Goal Setting**

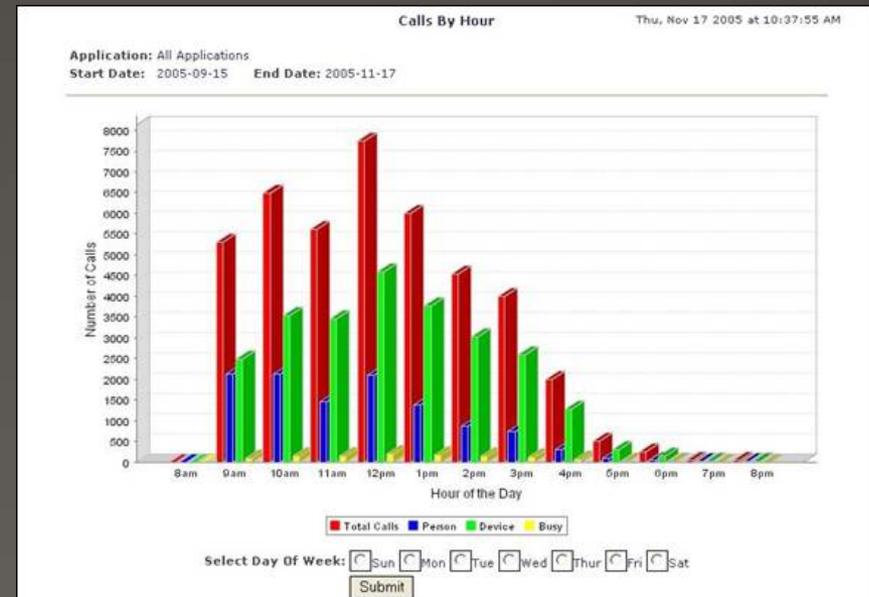
- ❑ Discuss all approved DBE forms.
- ❑ You may propose your own form to the TxDOT District in which you're working.
- ❑ You as the entity become TxDOT while TxDOT assumes the role of FHWA and you will be required to maintain all files applicable to your projects.



**TxDOT / DOT Forms**

- Some examples of required reporting are: Initial Commitments, Signed Contracts & Subcontracts, Change Orders, Substitutions, Prompt Payment, Payments to Non-DBEs, DBE Monthly Progress Reports, Final Project Closeout, etc.

# Reporting



- ❑ TxDOT per USDOT rule §23.635.105 will act in the capacity as a “Supervising Agency”.
- ❑ TxDOT is to assure compliance and delegate project actions.
- ❑ TxDOT will provide oversight & guidance.

## **TxDOT's Role & Responsibilities**

❑ **DOT DBE Electronic Code of Federal Regulations §49 CFR 26**

<http://ecfr.gpoaccess.gov/cgi/t/text/text-x?type=simple;c=ecfr;cc=ecfr;sid=0b22e1605895db849f4109cc7411393c;region=DIV1;q1=49%20CFR%2026;rgn=div5;view=text;idno=49;node=4%3A1.0.1.1.20>

❑ **DOT Local Government Electronic Code of Federal Regulations §23 CFR 633**

<http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?type=simple;c=ecfr;cc=ecfr;sid=58dc9913a886492564a160a70d096b19;region=DIV1;q1=635.105;rgn=div5;view=text;idno=23;node=23%3A1.0.1.7.23>

❑ **TUCP** [http://www.txdot.gov/business/business\\_outreach/tucp.htm](http://www.txdot.gov/business/business_outreach/tucp.htm)

❑ **TxDOT Small / Minority Business Opportunities Programs Page** [http://www.txdot.gov/business/business\\_outreach/default.htm](http://www.txdot.gov/business/business_outreach/default.htm)

❑ **TxDOT DBE Forms** [http://www.txdot.gov/txdot\\_library/doing\\_business/dbe.htm](http://www.txdot.gov/txdot_library/doing_business/dbe.htm)

❑ **TxDOT DBE Website** [http://www.txdot.gov/business/business\\_outreach/dbe.htm](http://www.txdot.gov/business/business_outreach/dbe.htm)

## Resources / References

Web  
Resources



**Questions?**