



LG Name: _____
 LG Texas ID No. (TIN): _____
 District/Division: _____

Local Government (LG) Agency Risk Assessment

Criteria	Range of Attributes*	Risk Score	Comments/Justification for Risk Score	
Audits	Recent Single Audits, Federal Agency Audits, or Texas State Agency Audits	No findings of concern	A	
		Minor findings that are actively being addressed	B	
		Major findings being addressed or multiple minor findings	C	
		Multiple major findings	D	
Process	Project Delivery Process	Well-defined process that regularly demonstrates success	A	
		Well-defined process, but has not demonstrated success on past projects	B	
		Not well-defined process, but has shown some success on past projects	C	
		Inadequate process or no project delivery system has been provided	D	
Program Past Performance (with TxDOT or Similar Agency)	Financial	Consistently provide accurate and timely billings	A	
		Consistently accurate billings, not as timely as desired, or occasional inaccuracies	B	
		Occasional inaccuracies, always resolved, less timely billings than desired	C	
		Inaccurate, infrequent, or incomplete billings	D	
	Compliance	Consistent compliance with thorough documentation	A	
		Minor compliance or documentation issues, promptly addressed when notified	B	
		Some compliance or documentation issues, addressed but not always promptly	C	
		Poor performance, frequently lacking documentation	D	
	Communication/Responsiveness	Always timely, credible, and complete information	A	
		Credible and complete information, occasionally delayed	B	
		Eventually provides credible and complete information upon repeated requests	C	
		Non-responsive, inaccurate, or incomplete information	D	
Program Leadership	Stability	Steady, consistent, continuity of personnel in key positions over extended time	A	
		Current personnel have been in positions for a reasonable length of time	B	
		Some turnover in key positions, but culture of LG remains relatively consistent	C	
		Frequent turnover of personnel or changing positions	D	
	Experience	Well-equipped through education and/or training	A	
		Good basic level of experience and knowledge and commitment to further development of skills	B	
		Limited experience and knowledge but commitment to further development of skills	C	
		No relevant experience in required areas and/or no commitment to further development of skills	D	
	Teamwork	Consistently looking for mutually acceptable outcomes	A	
		Reasonable willingness to work with others to achieve mutually acceptable outcomes	B	
		Hesitant to agree with anything that is not clearly in best interest of LG	C	
		Solely interested in winning at the expense of others	D	
Total Overall Risk Score (A, B, C, or D)				

* The descriptions of the Range of Attributes are examples only and do not necessarily apply to the LG. The comments explain the justification for the risk score.

Low level of risk to TxDOT	A
Moderate level of risk to TxDOT	B
High level of risk to TxDOT	C
Highest level of risk to TxDOT	D

Notes	
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Recommendation:

Acknowledgement:

 Signature – Dist. Dir. of TP&D or Div. Section Dir.

 Signature – Dist. Dir. of Const. or Deputy Dir.

 Printed Name – DE/DD Date

 Signature – DE/DD